

## Citadel

Description	Equity/Options	Category	Rate	Rate Type	Comments
Non-directed Held Orders	Equity	4 - 7 am < \$1.00	\$ -	Per Share	Cost Plus. Vision receives 100% of the pass through fees and rebates
Non-directed Held Orders	Equity	4 - 7 am >= \$1.00	\$0.0002	Per Share	Cost Plus. Vision receives 100% of the pass through fees and rebates
Non-directed Held Orders	Equity	Extended Hours	\$ -	Per Share	Order eligible for pre and post receive no rebates
Non-directed Held Orders	Equity	All Sessions	\$ -	Per Share	Orders eligible for all session receive no rebates
Non-directed Held Orders	Equity	MOC/LOC	\$0.0010	Per Share	Fee
Non-directed Held Orders	Equity	SubDollar	\$ -	Per Share	No fee
Non-directed Held Orders	Equity	Opening/Closing Auction	\$ -	Per Share	No fee
Non-directed Held Orders	Equity	Other	\$(0.0020)	Per Share	Rebate
Non-directed Not Held	Equity	VWAP	\$0.0006	Per Share	Fee

## Dash Financial

Description	Equity/Options	Category	Rate	Rate Type	Comments
Single Leg - Penny Name	Options	Retail - Regular Session	\$(0.30)	Per Contract	Rebate
Single Leg - Non-penny Name	Options	Retail - Regular Session	\$(0.70)	Per Contract	Rebate
Multileg	Options	Retail - Regular Session	\$(0.35)	Per Contract	Rebate
Index	Options	Retail - Regular Session	\$ -	Per Contract	Cost Plus. Vision receives 100% of the pass through fees and rebates
All non-index	Options	Non-Retail	\$0.05	Per Contract	Cost Plus. Vision receives 100% of the pass through fees and rebates

## Two Sigma

Description	Equity/Options	Category	Rate	Rate Type	Comments
Regular Session – Equities	Equity	Securities priced at or above \$1.00, Inclusive of opening and closing periods	\$(0.0015)	Per Share	Rebate
Regular Session - Equities	Equity	Securities priced below \$1.00 have no rebate or fee	\$ -	Per Share	No fee

## Rice Financial Products Company

Description	Equity/Options	Category	Rate	Rate Type	Comments
Single Leg - Penny Name	Options	Retail - Regular Session	\$(0.30)	Per Contract	Rebate
Single Leg - Non-penny Name	Options	Retail - Regular Session	\$(0.70)	Per Contract	Rebate
Multileg	Options	Retail - Regular Session	\$(0.35)	Per Contract	Rebate
Index	Options	Retail - Regular Session	\$ -	Per Contract	Cost Plus. Vision receives 100% of the pass through fees and rebates

## Volant

Description	Equity/Options	Category	Rate	Rate Type	Comments
Standard	Options	All	\$0.05	Per Contract	Cost Plus. Vision receives 100% of the pass through fees and rebates

**Wolverine Execution Services**

Description	Equity/Options	Category	Rate	Rate Type	Comments
Single Leg - Penny Name	Options	Retail - Regular Session	\$(0.30)	Per Contract	Rebate
Single Leg - Non-Penny Name	Options	Retail - Regular Session	\$(0.70)	Per Contract	Rebate
Proprietary CBOE Index	Options	Retail - Regular Session	\$ -	Per Contract	Cost Plus. Vision receives 100% of the pass through fees and rebates

Cost plus rates are calculated at the rate per share set forth above, plus any applicable exchange, market center and third party provider's charges ("Exchange Fees") and any applicable Regulatory Fees (e.g. Section 31 Fees (SEC), Trading Activity Fees). Execution Cost is exclusive of: Clearing fees; NSCC/DTC fees.). The executing broker may reserve the right to determine what, if any, credits, rebates, or fee discounts it passes back to any Vision. Vision does not have a rebate or fee sharing agreement with any executing broker.